

As Seen In... *Forbes*, September 28, 2015

■ CALIFORNIA
LEADERS IN LAW

Representing Victims of Securities and Financial Fraud

The Frost Firm

From offices in San Diego, California, The Frost Firm has established a national reputation for securities and financial litigation in courtrooms and arbitration forums across the country. The boutique firm tirelessly advocates for investors, trust beneficiaries, and medium to large businesses involved in complex “bet the ranch” disputes involving financial misconduct. “Our mission is to help people who have been pushed around or taken advantage of by those in positions of power or influence,” says founder and managing partner Thomas C. Frost. “We level the playing field for our clients who invariably face opponents with vastly superior financial resources. We are never out-lawyered.”

“We offer the strength and resources of a large firm, combined with the individualized attention and responsiveness of a boutique firm.”
– Thomas C. Frost

Mr. Frost’s high-profile results include many million-dollar and multimillion-dollar awards and settlements in various forums, including investor arbitrations before the Financial Industry Regulatory Authority, or FINRA, fiduciary fraud disputes litigated in Probate Court, and financial fraud lawsuits litigated in California State and Federal Courts. Mr. Frost is a longstanding member of the Million Dollar Advocates Forum, an organization strictly limited to attorneys who have successfully recovered million-dollar and multimillion-dollar awards after trial.

In nearly 20 years of practice, Mr. Frost’s accomplishments have brought



Thomas C. Frost

recognition throughout the profession and the wider business community. He was selected by the editors of the *San Diego Daily Transcript* as one of the *Transcript’s* Top 10 Attorneys in the area of Corporate Litigation in 2012, 2014 and 2015. He also is often an authoritative source for journalists. His comments on financial and securities legal issues have appeared

in such publications as *Wall Street Journal Magazine*, *Smart Money*, *The Corporate Reporter*, *Securities Arbitration Commentator*, *PIABA Bar Journal*, and other national and local media.

Highly Experienced Trial Attorneys

In addition to The Frost Firm’s busy securities arbitration practice involving cases before FINRA, The Frost Firm frequently litigates cases in State and Federal court as well as private arbitration forums such as American Arbitration Association and JAMS. The Frost Firm’s seasoned attorneys don’t shy away from protracted courtroom trials, and opponents who expect to scorch the earth quickly find that strategy ineffective. “I front-load cases with a tremendous amount of time and energy. I thoroughly research and analyze every potential claim and party at the outset of my representation to ensure my clients have their day in court, regardless of the roadblocks I expect from a well-funded defense,” says Mr. Frost. “When I take responsibility for a person’s or a company’s financial dispute, I will leave no stone unturned and deliver a passionate and accurate presentation of the facts.”

The Frost Firm prides itself on providing the strength and resources of a large firm, combined with the individualized attention and responsiveness of a boutique firm, a business model that requires the firm to be extremely selective about the cases it handles. “We respond promptly to emails and telephone calls, no matter the issue,” Mr. Frost says. “My clients can always reach me. I’m involved every step of the way with my clients’ matters.”



THE
FROST FIRM
— ATTORNEYS AT LAW

1010 Second Ave., 24th Floor | San Diego, CA 92101 | 619-822-1740
thefrostfirm.com